Investment Fraud Checklist

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# Tasks:

☐ **Questions to Ask**

☐ **Do you understand how the investment works?**

☐ **Is the person contacting you about the investment a stranger?**

☐ **Are you dealing with a registered adviser?**

☐ **Can you verify the investment with a credible source?**

☐ **Is the seller reluctant to provide written information on the investment?**

☐ **If you are promised a guaranteed return, is the guarantee given by a reputable financial institution?**

☐ **Have you been guaranteed high investment returns with little or no risk?**

☐ **Is your money guaranteed to be there when you need it?**

☐ **Have you been offered 'insider information'?**

☐ **Is information on the investment found only on the Internet?**

☐ **Does the investment sound too good to be true?**

☐ **Is the investment opportunity based on facts?**

☐ **Are you promised a high return on a low-risk investment?**

☐ **Do you have enough time to make a decision?**

☐ **Is the risk you are taking reasonable for the expected return?**

☐ **Tips**

☐ **If you don't understand the investment, don't invest.**

☐ **If you receive an unsolicited investment opportunity, get a second opinion.**

☐ **Never feel pressured into buying an investment on the spot.**

☐ **Take your time making investment decisions.**

☐ **Ask for proof of the guarantee in writing.**

☐ **Make sure you understand and can afford the amount of risk you're taking on.**

☐ **Beware of the sources of 'hot tips' or 'insider news'.**

☐ **Never sign documents you have not read carefully.**